PTO/SB/21 (08-00)

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Application Number 09/256,237 **TRANSMITTAL** February 24, 1999 **Filing Date FORM First Named Inventor** Heinrich HEIDTMANN etca (to be used for all correspondence after initial filing) **Group Art Unit** 1642 M. Davis **Examiner Name**

Total Number of Pages in This Submission		Attorney Docket Number	38005-0087
ENCLOSURES (check all that apply)			
Fee Transmittal Form		Assignment Papers (for an Application)	After Allowance Communication to Group
Fee Attached		☐ Drawing(s)	Appeal Communication to Board of Appeals and Interferences
Amendment / Response		Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final		Petition	☐ Proprietary Information
Affidavits/declaration(s)		Petition to Convert to a Provisional Application	Status Letter
Extension of Time Request		Power of Attorney, Revocation Change of Correspondence Address	Other Enclosure(s) (please identify below):
Express Abandonment Request		☐ Terminal Disclaimer ☐ Request for Refund	Revised Form PTO/SB/08A
☐ Information Disclosure Statement		CD, Number of CD(s)	
Certified Copy of Priority Document(s)		Remarks	
Response to Missing Parts/ Incomplete Application			
Response to Missing Parts under 37 CFR 1.52 or 1.53			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm <i>or</i> Individual name	HELLER EHRMAN WHITE & MCAULIFFE		
Signature	Patricia D. Granadoo		
Date	april 24, 2002		
CERTIFICATE OF MAILING			

addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name Date Signature

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In re patent application of

Atty. Docket No. 38005-0087

Heinrich HEIDTMANN et al.

Group Art Unit: 1642

Serial No.: 09/256,237

Examiner: M. Davis

Filed: February 24, 1999

For: NUCLEIC ACID CONSTRUCT FOR EXPRESSING ACTIVE SUBSTANCES WHICH CAN BE ACTIVATED BY PROTEASES AND PREPARATION AND USE

CORRECTED INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 and 37 CFR §1.97

Commissioner for Patents Washington, D.C. 20231

Sir:

On March 11, 2002, Applicants filed an Information Disclosure Statement and Form PTO/SB/08A listing references C01-C14. Please note that reference A06 was cited incorrectly. It should read: JUDAH FOLKMAN, "Clinical Applications of Research on Angiogenesis", The New England Journal of Medicine, December 28, 1994, pp. 1757-1763, Vol. 333, No. 26." A revised Form PTO/SB/08A is submitted herewith for the Examiner's convenience.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

RELEVANCE STATEMENT

The relevance of documents C01-C14 are described in the Declaration of Roland Kontermann under 37 CFR §1.132 filed March 11, 2002.

TIMING/FEE

The required filing fee for submission of an Information Disclosure Statement after the mailing of a first Office Action on the merits but before the mailing date of either (1) a final action under §1.113; (2) a notice of allowance under §1.311; or (3) an action that otherwise closes prosecution in the application was submitted on March 11, 2002. The Commissioner is hereby authorized to charge any deficiency or to credit any overpayment to Deposit Account No. 08-1641.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with M.P.E.P. §609.

Respectfully submitted,

Date:

HELLER EHRMAN WHITE &

MCAULIFFE

1666 K Street, N.W., Suite 300

Washington, DC 20006

Telephone:

(202) 912-2142

Facsimile:

(202) 912-2020

Patricia D. Granados

Attorney for Applicant

Registration No. 33,683

Customer No. 26633

26633
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